OPG-ARD-AAD-FOR-002-002

BROKER'S INFORMATION SHEET

For Individual Broker / Authorized Signatory

Privacy Notice

Philippine Veterans Bank is committed to protect and respect your right to privacy regarding any information on we collect from you through this form. When you interact with us, you share personal data with us.

This Privacy Notice outlines our commitment to collect and process your personal data in accordance with applicable laws and regulations on data privacy including the Philippine Data Privacy Act of 2012 ("DPA") and its Implementing Rules and Regulations (IRR).

PVB shall only ask your personal information when truly needed and shall adhere to the general principles of transparency, legitimate purpose and proportionality.

PVB recommends that you read and understand this policy to be informed of the principles and practices we have in processing your personal data. Personal Information that we may collect from you are:

1) **Name and your personal particulars such as place and date of birth, permanent and present address, Nationality, email address and contact information;**
2) **Government ID details (i.e. TIN);**
3) **Relationship with PVB or any of its directors, stockholders, or employees; and**
4) **Other qualifications**

PVB may collect and process other information as may be required by law or regulations, or to provide you the banking services that you require. We may also keep your personal opinion or comments as a tool to improve on our customer service and track the interaction between you and our employee.

The information collected from you will be necessary in processing of your accreditation as a PVB broker. The use of your personal information will be kept to the minimum required to process your transactions and keep you informed about our products, services and other opportunities. If you do not wish to provide your personal information to us, we may not be able to provide the accreditation and services you request, or to inform you about other services being offered by PVB.

PVB does not sell your personal identifiable information to marketing companies. We may share your information (i) with our carefully selected business partners to inform you of our promotions; (ii) with our third-party service providers who may perform PVB business operations; (iii) with our account representatives who may work on our behalf and our business partners to send you communication; (iv) to protect and defend PVB (including enforcing our Terms and Conditions); and (v) when required by laws and/or government authorities such as NPC, BSP, BIR etc.

PVB reserves the right to retain your personal data up to ten (10) years from the date of expiration of your accreditation as long as necessary to fulfil the purpose for which the data were collected or, under certain circumstances, to comply with a legal obligation and/or protect and defend the rights or property of PVB, its affiliates and subsidiaries.

After the expiration of the retention period, unless otherwise stated above, PVB shall dispose your Personal Data and other information in a commercially acceptable secure manner.

Only persons who need information to fulfil their duties under this are granted access to your personal data. If you have come to any knowledge of improper handling or processing of personal information under our custody, kindly contact us through our Data Privacy Office at dpo@veteransbank.com.ph.

You have the right to access your data, to obtain a copy of your data, to request their erasure or rectification, or request your data to be ported to another controller. In relation to this, below are some of the activities you may opt to exercise to:

1) Remove you from the recipients of our marketing initiatives or any advisories from us;
2) Prevent your information be shared to our subsidiaries or affiliates; or with other organizations we have business with, provided that such information is not necessary to process your transaction, significant nor required by applicable laws and regulations; and
3) Update your information; & file complaint regarding the use of your data.

PVB may charge a reasonable fee for processing your requests to access or update your personal data depending on the nature and extent of your request. We will make the information on the fee available to you prior to processing your request. We will try to respond immediately on your request. However, if your concern is complex or there are other requests that take precedence due to time, we will inform you that an extended period may be needed.

I have read and fully understand the purpose of the processing, collection, use, retention, sharing and disposal of my shared personal information with PVB and hereby consent and authorize the Bank to use my personal information in accordance with the contents of this Privacy Notice.

Name and Signature/Date: _____

☐ New Application ☐ Renewal

Personal Information

Name (First Name, Middle Name, Last Name) | **Tax Identification Number (TIN)**

Present Address (House No. & Street, Barangay, District /City, Province, Country, Zip Code) | **Date of Birth**

Permanent Address (House No. & Street, Barangay, District /City, Province, Country, Zip Code) ☐ Same as Present Address | **Place of Birth**

Non-resident Indicator

☐ NO/RESIDENT (*e.g., Filipinos, Sea-based OFW, Aliens with ACR or Special Retirement Visa ID)*

☐ YES/NON-RESIDENT *(e.g., Aliens, Filipino Immigrants, Land-based OFWs with contract to work abroad for more than a year)*

ACR I-Card No. (If applicable):_____

Mobile Number | **Email Address** | **Nationality**

REB License No. | **Date of Expiration** | **No. of Years as a Broker**

Source of Fund ☐ Business ☐ Interest ☐ Commission ☐ Gifts/Donations ☐ Dividend ☐ Others _____

Relations with PVB and its Employee

☐ Not Applicable ☐ I'm a Director/Stockholder/Employee (DSE) of PVB ☐ DSE is a relative* ☐ DSE has a business relationship with an employee of PVB*

***Note:** For **DSE is a relative and has a business relationship with an employee of PVB,** kindly provide the name/s below.

	PVB Employee	Position/Unit	Nature of Affiliation / Business Name of Relationship / Degree of Relationship	Extent or Percentage (%) of Shareholdings/Ownership/Interest by PVB Employees in the Company
1				
2				
3				

Real Estate Background

A. Accreditation with Other Institution

	Name of Company	Period Covered
1		
2		
3		

B. Consummated Sale as a Broker

	Name of Company	Location of Properties	Date
1			
2			
3			

Reference

	Name	Position	Company	Contact Number
1				
2				
3				

Certification

I hereby certify that the above information is true and correct. I agree to be bound by all the terms and conditions of Philippine Veterans Bank (PVB), the rules and regulations of the Banko Sentral ng Pilipinas (BSP) and the Bankers Association of the Philippines (BAP) as well as the provisions of R.A. 9160, as amended by R.A. 9194 otherwise known as "Anti-Money Laundering Act of 2001", including its pertinent rules and regulations, relative to the establishment and operation of the deposit/s and/or loan account/s. I further undertake to notify you in writing of any change in the information supplied in this form.

Date

Signature Over Printed Name

SIGNATURE VERIFIED

For Bank's Use Only

Remarks | **Received By** | **Date Received**

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